

Agenda Item #1

HARRAH'S HOOSIER PARK - 2026 (through September 15)

<u>Ruling Number</u>	<u>First Name</u> <u>Last Name</u>	<u>Violation Date</u>	<u>Ruling Date</u>	<u>Violation</u>	<u>Violation Type</u>	<u>From</u>	<u>Suspension</u> <u>To</u>	<u>Days</u>	<u>Fine</u>
HP-2026-3731	Melissa Essig	11/28/2025	12/18/2025	Medication/Drug Violation (Equine)	Thresholds				1000.00
HP-2026-3732	Kyle Wilfong	2/13/2026	2/19/2026	Riding/Driving Infraction Violation	Pylon Violation				200.00
HP-2026-3733	Tyler Stevens Clemons	2/19/2026	2/19/2026	Receiving Barn/Paddock Violation	Late to the Paddock/Receiving Barn				200.00
HP-2026-3734	Amy Husted	2/19/2026	2/20/2026	Trainer Responsibility					1000.00
HP-2026-3735	Cristian Pacheco	2/26/2026	3/9/2026	Riding/Driving Infraction Violation	Interference				200.00
HP-2026-3736	Anthony Miller	3/6/2026	3/9/2026	Riding/Driving Infraction Violation	Interference				200.00
HP-2026-3737	Juan Franco	3/6/2026	3/9/2026	Riding/Driving Infraction Violation	Failure to Ride/Drive				100.00
HP-2026-3738	Patrick Melloy	3/6/2026	3/9/2026	Riding/Driving Infraction Violation	Late Driver/Rider Change				100.00
HP-2026-3739	Darrell Wright	2/26/2026	3/9/2026	Riding/Driving Infraction Violation	Interference				200.00
HP-2026-3740	Walter Haynes	3/13/2026	3/13/2026	Receiving Barn/Paddock Violation	Late to the Paddock/Receiving Barn				200.00
HP-2026-3741	Patrick Melloy	3/20/2026	3/23/2026	Riding/Driving Infraction Violation	Late Driver/Rider Change				100.00
HP-2026-3742	James Yoder	3/27/2026	3/28/2026	Trainer Responsibility					100.00
HP-2026-3743	Wyatt Farmer	3/27/2026	3/28/2026	Riding/Driving Infraction Violation	Riding/Driving Infraction				100.00
HP-2026-3744	Lewayne Miller	3/27/2026	3/28/2026	Riding/Driving Infraction Violation	Riding/Driving Infraction				100.00
HP-2026-3746	Amy Husted	4/15/2026	4/18/2026	Trainer Responsibility					100.00
HP-2026-3747	Wyatt Avenatti	4/15/2026	4/18/2026	Riding/Driving Infraction Violation	Riding/Driving Infraction				100.00
HP-2026-3748	Atlee Bender	4/15/2026	4/18/2026	Riding/Driving Infraction Violation	Starter Violation				100.00
HP-2026-3749	John DeLong	4/16/2026	4/18/2026	Whip Violation	Excessive				200.00
HP-2026-3750	Luke Plano	4/16/2026	4/18/2026	Whip Violation	Excessive				200.00

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HP-2026-3754	Brandon Bates	4/24/2026	5/5/2026	Riding/Driving Infraction Violation	Interference				200.00
HP-2026-3755	Douglas Rideout	4/29/2026	5/5/2026	Riding/Driving Infraction Violation	Interference				200.00
HP-2026-3756	Kiwon Waldron	4/29/2026	5/5/2026	Riding/Driving Infraction Violation	Riding/Driving Infraction				100.00
HP-2026-3757	Peter Wrenn	5/2/2026	5/5/2026	Riding/Driving Infraction Violation	Riding/Driving Infraction				100.00
HP-2026-3758	Luke Plano	5/2/2026	5/5/2026	Whip Violation	Excessive				300.00
HP-2026-3759	Sally Gilbert	5/2/2026	5/5/2026	Riding/Driving Infraction Violation	Late Driver/Rider Change				100.00
HP-2026-3760	Jay Cross	5/2/2026	5/5/2026	Riding/Driving Infraction Violation	Failure to Ride/Drive				100.00
HP-2026-3761	Kyle Wilfong	5/2/2026	5/5/2026	Riding/Driving Infraction Violation	Failure to Ride/Drive				300.00
HP-2026-3762	Lewayne Miller	5/6/2026	5/7/2026	Riding/Driving Infraction Violation	Interference				200.00
HP-2026-3768	Peter Wrenn	5/9/2026	5/16/2026	Riding/Driving Infraction Violation	Failure to Ride/Drive				100.00
HP-2026-3769	Roy Wilson	5/13/2026	5/16/2026	Riding/Driving Infraction Violation	Riding/Driving Infraction				100.00
HP-2026-3770	Brandon Bates	5/13/2026	5/16/2026	Riding/Driving Infraction Violation	Interference				200.00
HP-2026-3771	Kevin Lambright	5/14/2026	5/16/2026	Riding/Driving Infraction Violation	Starter Violation				100.00
HP-2026-3774	Suhein Matos	4/22/2026	5/19/2026	Medication/Drug Violation (Equine)	Thresholds	6/23/2026	12/31/2026	191	500.00
HP-2026-3775	Anthony Miller	5/16/2026	5/19/2026	Whip Violation	Excessive				200.00
HP-2026-3776	Steven Searle	4/15/2026	5/22/2026	Medication/Drug Violation (Equine)	Positives (MMV Points)				0.00
HP-2026-3777	John DeLong	5/20/2026	5/25/2026	Whip Violation	Bleeding/Welts				200.00
HP-2026-3778	Jordan Ross	5/20/2026	5/25/2026	Riding/Driving Infraction Violation	Pylon Violation				200.00
HP-2026-3779	Gale Smith	5/27/2026	5/29/2026	Riding/Driving Infraction Violation	Late Driver/Rider Change				100.00
HP-2026-3783	Aroldo Barrera-Quinteros	6/2/2026	6/2/2026	License Violation	Summarily Suspended	6/2/2026			0.00

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HP-2026-3790	Joseph Nissley	6/4/2026	6/5/2026	License Violation	Change of Occupation				0.00
HP-2026-3791	Robert Taylor	6/3/2026	6/6/2026	Riding/Driving Infraction Violation	Failure to Ride/Drive				100.00
HP-2026-3792	Cordarius Stewart	6/3/2026	6/6/2026	Riding/Driving Infraction Violation	Pylon Violation				200.00
HP-2026-3793	Tyler Stevens Clemons	5/25/2026	6/6/2026	Claiming Violation					500.00
HP-2026-3804	Joey Ayotte	6/16/2026	6/17/2026	License Violation	Change of Occupation				0.00
HP-2026-3805	Jeffery Royer	6/16/2026	6/17/2026	License Violation	Change of Occupation				0.00
HP-2026-3806	John DeLong	6/11/2026	6/17/2026	Riding/Driving Infraction Violation	Failure to Ride/Drive				100.00
HP-2026-3807	Jeffrey Dever	6/16/2026	6/17/2026	Riding/Driving Infraction Violation	Starter Violation				100.00
HP-2026-3809	Michael Miller	6/12/2026	6/19/2026	Medication/Drug/Alcohol Violation (Human)	Cannabis	6/16/2026	6/30/2026	14	0.00
HP-2026-3810	Michael Micallef	5/21/2026	6/19/2026	Medication/Drug Violation (Equine)	Thresholds				1000.00
HP-2026-3812	Atlee Bender	6/19/2026	6/23/2026	Whip Violation	Bleeding/Welts				200.00
HP-2026-3814	Kyle Wilfong	6/20/2026	6/24/2026	Whip Violation	Excessive				200.00
HP-2026-3815	Daryl Bontrager	6/23/2026	6/24/2026	Riding/Driving Infraction Violation	Riding/Driving Infraction				100.00
HP-2026-3816	Henry Graber	6/24/2026	6/24/2026	Trainer Responsibility					200.00
HP-2026-3818	John DeLong	6/24/2026	6/26/2026	Whip Violation	After Race				200.00
HP-2026-3819	Ronald Burks	6/25/2026	6/26/2026	Riding/Driving Infraction Violation	Pylon Violation				200.00
HP-2026-3820	Travis Seekman	6/25/2026	6/27/2026	Riding/Driving Infraction Violation	Interference				200.00
HP-2026-3821	Kyle Husted	6/25/2026	6/27/2026	Riding/Driving Infraction Violation	Interference				200.00
HP-2026-3823	Atlee Bender	6/27/2026	6/30/2026	Whip Violation	Excessive				200.00
HP-2026-3824	Bradley Ferguson	6/27/2026	6/30/2026	Riding/Driving Infraction Violation	Interference				200.00

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HP-2026-3825	Clay Scagnoli	6/27/2026	6/30/2026	Riding/Driving Infraction Violation	Starter Violation				100.00
HP-2026-3826	Gerald Yoder	6/26/2026	7/2/2026	Medication/Drug/Alcohol Violation (Human)	Cannabis	6/26/2026	7/31/2026	35	0.00
HP-2026-3827	Aaron Stutzman	7/1/2026	7/3/2026	Riding/Driving Infraction Violation	Interference				200.00
HP-2026-3828	Kevin Lambright	7/3/2026	7/7/2026	Whip Violation	Bleeding/Welts				200.00
HP-2026-3834	Lewayne Miller	7/16/2026	7/17/2026	Receiving Barn/Paddock Violation	Late to the Salix Barn				200.00
HP-2026-3835	Anthony Miller	7/15/2026	7/17/2026	Riding/Driving Infraction Violation	Riding/Driving Infraction				100.00
HP-2026-3836	Cristian Pacheco	7/15/2026	7/17/2026	Riding/Driving Infraction Violation	Starter Violation				100.00
HP-2026-3837	Atlee Bender	7/11/2026	7/17/2026	Riding/Driving Infraction Violation	Failure to Ride/Drive				200.00
HP-2026-3838	Atlee Bender	7/17/2026	7/21/2026	Riding/Driving Infraction Violation	Failure to Ride/Drive				100.00
HP-2026-3839	Gary Campbell	7/2/2026	7/21/2026	Receiving Barn/Paddock Violation	Late to the Paddock/Receiving Barn				200.00
HP-2026-3840	Lewayne Miller	7/24/2026	7/28/2026	Riding/Driving Infraction Violation	Interference				200.00
HP-2026-3841	Kyle Husted	7/21/2026	7/24/2026	Riding/Driving Infraction Violation	Failure to Ride/Drive				300.00
HP-2026-3842	Aaron Stutzman	7/28/2026	7/30/2026	Riding/Driving Infraction Violation	Riding/Driving Infraction				200.00
HP-2026-3847	Ben Schwartz	8/4/2026	8/5/2026	Trainer Responsibility					50.00
HP-2026-3848	Anthony Miller	7/28/2026	8/7/2026	Claiming Violation					500.00
HP-2026-3849	Ross Leonard	8/6/2026	8/7/2026	Whip Violation	Excessive				200.00
HP-2026-3850	Lewayne Miller	8/6/2026	8/7/2026	Riding/Driving Infraction Violation	Starter Violation				100.00
HP-2026-3851	Randall Finn	8/8/2026	8/11/2026	Whip Violation	Excessive				200.00
HP-2026-3852	Brandon Bates	8/11/2026	8/14/2026	Riding/Driving Infraction Violation	Interference				200.00
HP-2026-3865	Jordan Ross	8/14/2026	8/19/2026	Riding/Driving Infraction Violation	Interference				200.00

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HP-2026-3866	Jared Finn	8/14/2026	8/19/2026	Riding/Driving Infraction Violation	Failure to Ride/Drive				100.00
HP-2026-3867	Kevin Lambright	8/15/2026	8/19/2026	Riding/Driving Infraction Violation	Starter Violation				100.00
HP-2026-3868	Justin White	8/18/2026	8/19/2026	Trainer Responsibility					100.00
HP-2026-3869	Ernest Gaskin	8/20/2026	8/22/2026	Trainer Responsibility					100.00
HP-2026-3877	Tyler Stevens Clemons	7/24/2026	8/27/2026	Medication/Drug Violation (Equine)	BGA - High Reading	9/3/2026	9/17/2026	14	500.00
HP-2026-3878	Dee Smith	8/26/2026	8/28/2026	Trainer Responsibility					100.00
HP-2026-3879	Ross Leonard	8/25/2026	8/28/2026	Riding/Driving Infraction Violation	Failure to Ride/Drive				100.00
HP-2026-3880	Ervin Miller	8/21/2026	8/27/2026	Trainer Responsibility					100.00
HP-2026-3882	Todd Warren	8/29/2026	9/1/2026	Whip Violation	Excessive				200.00
HP-2026-3883	Timothy Wilson	9/4/2026	9/5/2026	Trainer Responsibility					200.00
HP-2026-3884	Kevin Detweiler	8/26/2026	9/2/2026	Whip Violation	Bleeding/Welts				200.00
HP-2026-3885	Odell Cross	8/26/2026	9/2/2026	Riding/Driving Infraction Violation	Failure to Ride/Drive				100.00
HP-2026-3886	Patrizio Ancora	9/1/2026	9/2/2026	Trainer Responsibility					100.00
HP-2026-3887	Lee Morris	9/1/2026	9/3/2026	Riding/Driving Infraction Violation	Starter Violation				100.00
HP-2026-3888	Cordarius Stewart	9/1/2026	9/4/2026	Whip Violation	Excessive				200.00
HP-2026-3889	Ronald Burks	9/2/2026	9/3/2026	Riding/Driving Infraction Violation	Late Driver/Rider Change				100.00
HP-2026-3890	Bradley Ferguson	9/2/2026	9/3/2026	Riding/Driving Infraction Violation	Failure to Ride/Drive				100.00
HP-2026-3891	Qhyjaivyian Carter	9/3/2026	9/4/2026	Miscellaneous Ruling					0.00
HP-2026-3892	Dylan Davis	9/5/2026	9/9/2026	Trainer Responsibility					100.00
HP-2026-3893	Kevin Lambright	9/5/2026	9/9/2026	Riding/Driving Infraction Violation	Interference				200.00

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HP-2026-3900	Ronnie Roberts	9/9/2026	9/15/2026	Trainer Responsibility					100.00

HORSESHOE INDIANAPOLIS - 2026 (through September 15)

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IG-2026-3745	Francisco Quintero	4/8/2026	4/10/2026	Whip Violation	Excessive	QH				250.00
IG-2026-3751	Micah Meeks	4/29/2026	5/2/2026	Riding/Driving Infraction Violation	Careless Riding/Driving	TB	5/11/2026	5/11/2026	0	0.00
IG-2026-3752	Emma Jimenez Sanchez	4/7/2026	4/15/2026	Miscellaneous Ruling						0.00
IG-2026-3753	Victor Gonzalez	4/30/2026	5/5/2026	Miscellaneous Ruling						0.00
IG-2026-3765	Ronald Hisby	5/12/2026	5/13/2026	Conduct/Behavior			5/13/2026	5/19/2026	6	0.00
IG-2026-3772	Rolando Del Rio Pina	5/14/2026	5/18/2026	Jockey/Driver Requirements	Failure to Report or make correct weight	QH				100.00
IG-2026-3773	Luz Martinez	5/14/2026	5/18/2026	Jockey/Driver Requirements	Failure to Report or make correct weight	QH				100.00
IG-2026-3780	John Herbstreit	5/26/2026	5/26/2026	Miscellaneous Ruling						0.00
IG-2026-3781	Hector Vazquez Contreras	4/23/2026	5/26/2026	Miscellaneous Ruling						0.00
IG-2026-3782	Martin Munoz	5/30/2026	6/2/2026	Whip Violation	Excessive	QH				250.00
IG-2026-3784	Jose Murillo	6/2/2026	6/2/2026	Miscellaneous Ruling						0.00
IG-2026-3785	Corene Garcia	6/2/2026	6/2/2026	Miscellaneous Ruling		TB				0.00
IG-2026-3786	Edgar Diaz	6/2/2026	6/3/2026	Whip Violation	Excessive	QH				250.00
IG-2026-3787	Ezequiel Rodriguez	5/11/2026	6/4/2026	Medication/Drug Violation (Equine)	Positives (MMV Points)	QH				0.00
IG-2026-3788	Corene Garcia	5/14/2026	6/2/2026	Medication/Drug Violation (Equine)	Positives (MMV Points)	QH				0.00
IG-2026-3789	Francisco Quintero	6/3/2026	6/4/2026	Whip Violation	Excessive	QH				300.00
IG-2026-3794	Giovani Vazquez Gomez	6/4/2026	6/5/2026	Whip Violation	Excessive	QH				250.00
IG-2026-3795	Luz Martinez	6/6/2026	6/8/2026	Whip Violation	Excessive	QH				250.00
IG-2026-3796	Seth Coyle	6/24/2011	6/24/2011	License Violation	Falsify License Application	TB	6/24/2011	12/31/2011	190	0.00
IG-2026-3797	Dextavious Mitchell	6/13/2026	6/14/2026	Whip Violation	Excessive	QH				250.00

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IG-2026-3798	Isidro Banuelos	6/9/2026	6/10/2026	Whip Violation	Excessive	QH				250.00
IG-2026-3799	Luis Luna Diaz	6/9/2026	6/10/2026	Whip Violation	Excessive	QH				250.00
IG-2026-3800	Ezequiel Rodriguez	5/30/2026	6/16/2026	Medication/Drug Violation (Equine)	Positives (MMV Points)	QH				0.00
IG-2026-3801	Sandino Hernandez	6/17/2026	6/18/2026	License Violation	Falsify License Application	TB				250.00
IG-2026-3802	Giovani Vazquez Gomez	6/16/2026	6/17/2026	Whip Violation	Excessive	QH				300.00
IG-2026-3803	J Arevalo	5/30/2026	6/17/2026	Medication/Drug Violation (Equine)	Positives (MMV Points)	QH				0.00
IG-2026-3808	Giovani Vazquez Gomez	6/17/2026	6/18/2026	Whip Violation	Excessive	QH				350.00
IG-2026-3811	Francisco Quintero	6/22/2026	6/23/2026	Whip Violation	Excessive	QH				350.00
IG-2026-3813	Francisco Quintero	6/23/2026	6/24/2026	Whip Violation	Excessive	QH				400.00
IG-2026-3817	Carlos Ruiz Cortez	6/25/2026	6/26/2026	Medication/Drug/Alcohol Violation (Human)	Methamphetamine		6/26/2026	7/25/2026	29	0.00
IG-2026-3822	Edgar Diaz	6/24/2026	6/25/2026	Whip Violation	Excessive	QH				300.00
IG-2026-3829	Alberto Ceron Zamudio	7/8/2026	7/9/2026	Whip Violation	Excessive	QH				250.00
IG-2026-3830	Edgar Diaz	7/8/2026	7/9/2026	Whip Violation	Excessive	QH				350.00
IG-2026-3831	Giovani Vazquez Gomez	7/10/2026	7/14/2026	Whip Violation	Excessive	QH				400.00
IG-2026-3832	Joel Zawitz	7/15/2026	7/15/2026	Miscellaneous Ruling						0.00
IG-2026-3833	Edgar Diaz	7/15/2026	7/16/2026	Whip Violation	Excessive	QH				400.00
IG-2026-3843	Luis Luna Diaz	7/18/2026	8/5/2026	Whip Violation	Excessive	QH				300.00
IG-2026-3844	Rodney Prescott	7/29/2026	7/31/2026	Riding/Driving Infraction Violation	Careless Riding/Driving	TB			3	0.00
IG-2026-3845	Antonio Ortiz Chavez	7/8/2026	8/6/2026	Medication/Drug/Alcohol Violation (Human)	Alcohol					100.00
IG-2026-3846	Lesbia Sanchez	7/8/2026	8/6/2026	Medication/Drug/Alcohol Violation (Human)	Alcohol					100.00
IG-2026-3853	Librado Barraza	6/25/2026	8/17/2026	Conduct/Behavior		QH	8/17/2026	8/17/2029	1096	5000.00
IG-2026-3854	Cynthia Rice	6/23/2026	8/15/2026	License Violation	Fingerprint Requirements		8/15/2026			0.00

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IG-2026-3855	James Rice	6/23/2026	8/15/2026	License Violation	Fingerprint Requirements		8/15/2026			0.00
IG-2026-3856	Timothy Witt	5/31/2026	8/15/2026	License Violation	Fingerprint Requirements		8/15/2026			0.00
IG-2026-3857	Salvatore Rizzo	5/4/2026	8/15/2026	License Violation	Fingerprint Requirements		8/15/2026			0.00
IG-2026-3858	Nolan Leifer	7/30/2026	8/15/2026	License Violation	Fingerprint Requirements		8/15/2026			0.00
IG-2026-3859	Amy Applegate	5/21/2026	8/15/2026	License Violation	Fingerprint Requirements		8/15/2026			0.00
IG-2026-3860	Dennis Amaty	7/7/2026	8/15/2026	License Violation	Fingerprint Requirements		8/15/2026			0.00
IG-2026-3862	Jose Huerta	7/17/2026	7/17/2026	Miscellaneous Ruling						0.00
IG-2026-3864	Gregory Davis	5/31/2026	8/15/2026	License Violation	Fingerprint Requirements		8/15/2026			0.00
IG-2026-3870	Carlos Ruiz Cortez	8/8/2026	8/14/2026	Whip Violation	Excessive	QH				250.00
IG-2026-3871	Isidro Banuelos	8/8/2026	8/14/2026	Whip Violation	Excessive	QH				300.00
IG-2026-3872	Giovani Vazquez Gomez	8/8/2026	8/14/2026	Whip Violation	Excessive	QH				450.00
IG-2026-3873	Yovani Flores Munoz	8/8/2026	8/14/2026	Whip Violation	Excessive	QH				250.00
IG-2026-3874	Alberto Ceron Zamudio	8/13/2026	8/14/2026	Whip Violation	Excessive	QH				300.00
IG-2026-3875	Yovani Flores Munoz	8/13/2026	8/14/2026	Whip Violation	Excessive	QH				300.00
IG-2026-3876	Evin Roman	8/24/2026	8/26/2026	Failure to Obey Judges/Stewards						100.00
IG-2026-3894	Fernando Morin	8/29/2026	8/31/2026	Whip Violation	Excessive	QH				250.00
IG-2026-3895	Fernando Morin	9/1/2026	9/2/2026	Whip Violation	Excessive	QH				300.00
IG-2026-3896	Cristian Penaloza	8/29/2026	8/31/2026	Whip Violation	Excessive	QH				250.00
IG-2026-3897	Yovani Flores Munoz	9/10/2026	9/11/2026	Whip Violation	Excessive	QH				350.00
IG-2026-3898	Antonio Rodriguez	9/11/2026	9/14/2026	Whip Violation	Excessive	QH				250.00
IG-2026-3899	Antonio Rodriguez	9/11/2026	9/14/2026	Conduct/Behavior		QH				250.00

Agenda Item #2

2026 HISA Racetrack Safety Violations Horseshoe Indianapolis

Through September 15

Reporting Date ↓	Violation Date	Case Number	Person Involved	Horse	Classification
2026-07-28	2026-07-28	2026-17654	Javier Diego	Justiceonemoretime	Crop
2026-07-27	2026-07-27	2026-17579	Andres Patricio Ulloa	Tainted Justice	Crop
2026-06-18	2026-06-18	2026-14729	Jose Ramos Gutierrez	Innisfree Lass	Crop
2026-06-13	2026-06-13	2026-14622	Evin Abdiel Roman	Maclean's Lullaby	Crop
2026-06-06	2026-06-06	2026-04379	Micah Meeks	The King Stinger	Crop
2026-06-02	2026-06-02	2026-03898	Irving Moncada	Illegal Contact	Crop
2026-05-06	2026-05-06	2026-02167	Fernando Cesar De la cruz Julian	Exodus	Crop

2026 HIWU ADMC Program Violations at Horseshoe Indianapolis

Through September 15

CONTROLLED

DATE OF RESOLUTION

4/21/2026

DATE OF EVENT
(3/20/2026)

COVERED PERSON

Anthony F. Cunningham

COVERED HORSE

Sound Doctrine

ALLEGED VIOLATION

**Rule 3312--Presence of a
Controlled Medication Substance
and/or its Metabolites or Markers
(Post-Race/Vets' List)**

PROHIBITED SUBSTANCE(S) METHODS

● Omeprazole ↗

CONSEQUENCES IMPOSED

**A written Reprimand (per 9/26/23
HISA Guidance).**

STATUS

Resolved

[Admission of ECM Rule Violation and
Acceptance of Consequences](#)

CONTROLLED

DATE OF RESOLUTION

5/14/2026

DATE OF EVENT
(4/9/2026)

COVERED PERSON

Randy Matthews

COVERED HORSE

Centeya

ALLEGED VIOLATION

**Rule 3312--Presence of a
Controlled Medication Substance
and/or its Metabolites or Markers
(Post-Race/Vets' List)**

PROHIBITED SUBSTANCE(S) METHODS

● Methocarbamol ↗

CONSEQUENCES IMPOSED

**A fine of \$500; imposition of 1.5
Penalty Points.**

STATUS

Resolved

[Admission of ECM Rule Violation and
Acceptance of Consequences](#)

CONTROLLED

DATE OF RESOLUTION

6/12/2026

DATE OF EVENT
(4/24/2026)

COVERED PERSON

Rene Cazares

COVERED HORSE

Miss Goodbar

ALLEGED VIOLATION

**Rule 3312--Presence of a
Controlled Medication Substance
and/or its Metabolites or Markers
(Post-Race/Vets' List)**

PROHIBITED SUBSTANCE(S) METHODS

● Methocarbamol ↗

CONSEQUENCES IMPOSED

**A fine of \$500; imposition of 1.5
Penalty Points.**

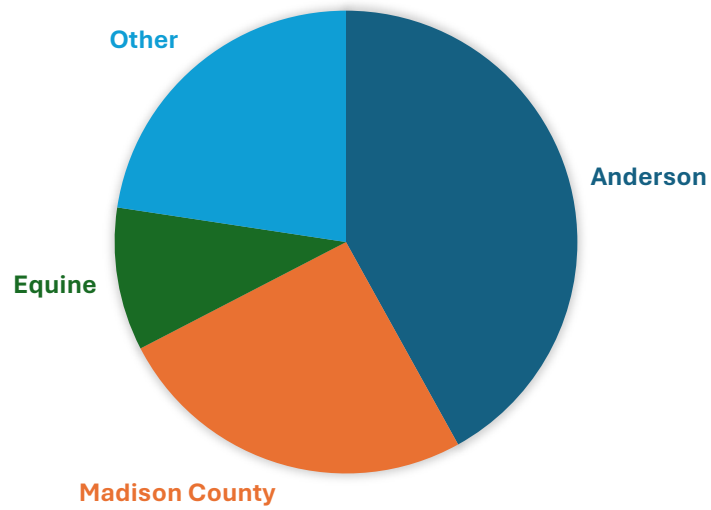
STATUS

Resolved

[Final Decision of HIWU](#)

Agenda Item #3

DISTRIBUTION BY GROUP



	January	February	March	April	May
Anderson		\$13,000	\$ 46,500.00	\$ 31,000.00	\$ 28,500.00
Madison County		\$ 12,500.00	\$ 58,000.00	\$ 7,500.00	\$ 10,000.00
Madison County total		\$25,500	\$104,500	\$38,500	\$38,500
Equine	\$5,000.00	\$ -	\$ -	\$ -	\$ -
Other	\$ 2,500.00	\$ 807.00	\$ 25,000.00	\$ 15,000.00	\$ 3,000.00
Total	\$ 7,500.00	\$26,307	\$129,500	\$53,500	\$41,500

Alternatives, Inc	Anderson, IN
Outfitters	Madison Co.
Leadership of Mad. Co.	Madison Co.
Standarbred Transiton A.	Ohio
Madison Co. Humane So	Madison Co.
Life Stream Services	Madison Co.
Grace Horizon	Anderson, IN
Harness Horse Youth Foundation	Anderson, IN
Madison Co. Comm. Found	Madison Co.
South Mad Co. Comm. Found	Madison Co.
Mounted Patrol (IMPD)	IMPD
United Way	Madison Co.
IN Coalition Against Domestic Violence	Indy

June	July	August	September	October
\$ 13,500.00	\$ 19,500.00	\$ 9,000.00	\$ 14,500.00	\$ 2,000.00
\$ 6,000.00		\$ 5,000.00	\$ 8,500.00	
\$19,500	\$19,500	\$14,000	\$23,000	\$2,000
	\$ 23,500.00			\$ 7,500.00
\$ 13,201.00	\$ 10,100.00	\$ 2,673.00	\$ 10,000.00	
\$32,701	\$53,100	\$16,673	\$33,000	\$9,500

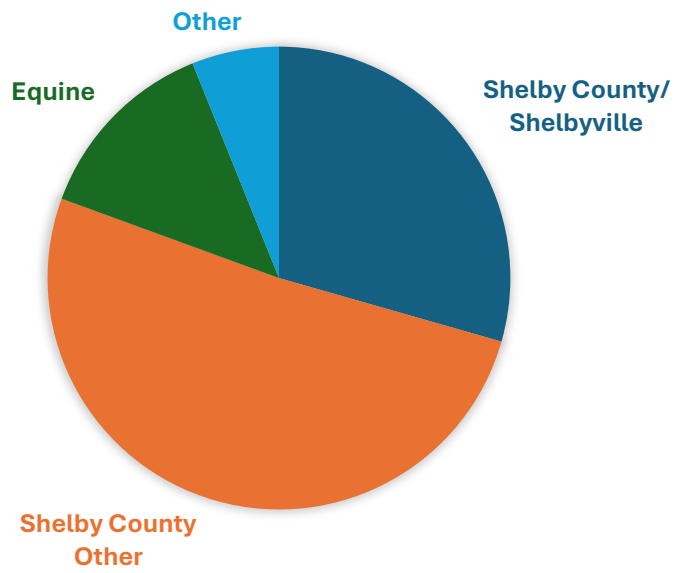
November	December	Total
	\$ 7,000.00	
	\$ 5,000.00	
\$0	\$12,000	
\$ 15,000.00		
\$15,000	\$12,000	\$ 430,281.00

Harrah's Hoosier Park

YTD August 2026

Organization Name	Category (Anderson / Madison County / Equine / Other	2026 Total
Madison County Community Foundation	Madison County	\$17,500
Cops For Kids (Madison County Law enforcement Foundation)	Madison County	\$15,000
Standardbred Transition Alliance	Equine	\$15,000
CASA (court appointed special advocate)	Anderson	\$12,500
Indy Pride, Inc.	Other (Indy)	\$12,500
St. Vincent Foundation/Anderson Regional	Anderson	\$12,500
Anderson Madison County Black Chamber of Commerce	Anderson	\$10,000
Combat Ministries	Anderson	\$10,000
Damien Center	Other	\$10,000
Grace Horizon formerly Stripped Love	Anderson	\$10,000
Habitat for Humanity	Anderson	\$10,000
Hamilton County Community Foundation	Hamilton County	\$10,000
Heart of Indiana United Way	Madison County	\$10,000
Humane Society for Hamilton County	Other (Hamilton Cty)	\$10,000
Indiana Coalition of Domestic Violence	Other	\$10,000
Leadership Academy of Madison County	Madison County	\$10,000
Madison County Chamber of Commerce(Alliance)	Madison County	\$10,000
Willow Place	Anderson	\$10,000
Lifestream Services	Madison County	\$8,000
Alternatives, Inc.	Anderson	\$7,500
IMPD Mounted Patrol Unit (Central Indiana Police Foun.)	Equine	\$7,500
Madison County Humane Society	Anderson	\$7,500
The Gathering of Queens, 765 Food Dessert Project	Anderson	\$7,500
Zion's Family Life Community Center	Anderson	\$7,500
Sista's of Royalty	Madison County	\$6,500
Outfitters	Madison County	\$6,000
Alzheimer's Association - Walk to end	Madison County	\$5,000
American Cancer Society	Other	\$5,000
Anderson Black Expo	Anderson	\$5,000
Anderson Education Foundation	Anderson	\$5,000
Anderson First Church of the Nazarene(Community center at	Anderson	\$5,000
Chogaffirm Inc./ Anderson Pride	Anderson	\$5,000
Goodwill of Central Indiana, Inc.	Indy	\$5,000
Ivy Tech Foundation	Anderson	\$5,000
Mounds Project	Anderson	\$5,000
Operation Love	Anderson	\$5,000
South Madison Community Foundation	Madison County	\$5,000
Sweet Liberty Ranch and Rescue	Equine	\$5,000
		\$323,000

DISTRIBUTION BY GROUP



Horseshoe Indianapolis	2020	2021	2022	2023	2024	2025	2026
Shelby Co./Shelbyville	\$ 269,000	\$ 273,500	\$ 316,500	\$ 208,413	\$ 213,250	\$ 68,500	\$ 154,500
Shelby Co. - Other	\$ 30,700	\$ 31,270	\$ 130,000	\$ 205,587	\$ 212,750	\$ 337,367	\$ 268,500
Shelby County Total	\$ 299,700	\$ 304,770	\$ 446,500	\$ 414,000	\$ 426,000	\$ 405,867	\$ 423,000
Equine	\$ 70,000	\$ 70,250	\$ 70,000	\$ 70,000	\$ 70,000	\$ 70,000	\$ 70,000
Other	\$ 155,300	\$ 151,564	\$ 8,500	\$ 41,000	\$ 29,000	\$ 49,150	\$ 32,000
Grand Total	\$ 525,000	\$ 526,584	\$ 525,000	\$ 525,000	\$ 525,000	\$ 525,017	\$ 525,000

Horseshoe Indianapolis Donations (\$5,000 +)

Organization	Donation (\$)
Shelby County Boys & Girls Club	\$ 50,000.00
Shelby County United Fund For You	\$ 55,000.00
Girls Inc	\$ 40,000.00
Meal on Wheels	\$ 25,000.00
Dinner Before Bedtime	\$ 20,000.00
Cancer Association of Shelby County	\$ 15,000.00
Shelby Senior Services	\$ 10,000.00
Dakich Cycles for the City	\$ 10,000.00
Major Hospital Foundation	\$ 10,000.00
Indiana Coalition Against Domestic Violence - Shelby/Rush County Chapter	\$ 10,000.00
Friends of NRA	\$ 10,000.00
Echo Effects Arts Center	\$ 10,000.00
Tri-State Thoroughbred Rehab & Rehome	\$ 5,000.00
City of Shelbyville - Parks & Recreation	\$ 5,000.00
American Cancer Society	\$ 5,000.00
Mainstreet Shelbyville	\$ 5,000.00
Friends of Ferdinand	\$ 5,000.00
Second Stride	\$ 5,000.00
OYEA INDY.ORG	\$ 5,000.00
MHP STYLE SHOW	\$ 5,000.00
Blue River Community Foundation	\$ 5,000.00
Shelby County Historical Society - Grover Museum	\$ 5,000.00
Total	\$ 315,000.00

Agenda Item #4

STATE OF INDIANA
BEFORE THE INDIANA HORSE RACING COMMISSION

IN RE:

2027 Application for Registration of Indiana)
Horsemen's Benevolent & Protective Association, Inc.)
Pursuant to 71 IAC 13-1-1 *et seq.*)

**NOTICE OF HEARING AND PRE-HEARING ORDER ON 2027 APPLICATION FOR
REGISTRATION OF INDIANA HORSEMEN'S BENEVOLENT & PROTECTIVE
ASSOCIATION PURSUANT TO 71 IAC 13-1-1 ET SEQ.**

This matter comes before the Indiana Horse Racing Commission (hereinafter "the Commission") on an Application for Approval as a Registered Horsemen's Association pursuant to 71 IAC 13-1-1 *et seq.* for the calendar year 2027 (hereinafter "the Application"), submitted by the Indiana Horsemen's Benevolent & Protective Association, Inc. (hereinafter "the Applicant" or "IHBPA") on or about August 31, 2026. The Commission, by its Chair, issues this notice and order pursuant to the provisions of the Indiana Administrative Orders and Procedures Act, Indiana Code sections 4-21.5-1-1 *et seq.*

NOTICE OF HEARING

The Commission will hold a hearing on Friday, October 9, 2026, to begin as soon as this matter advances on the Commission's agenda during its regularly scheduled meeting which will commence at 11:00 am EDT, in the Marquee Room at Horseshoe Indianapolis, 4300 N. Michigan Road, Shelbyville, IN 46176. The Hearing will relate to the following Application that was filed with the Commission on or about August 31, 2026:

2027 Application for Registration of the Indiana Horsemen's Benevolent &
Protective Association, Inc. Pursuant to 71 IAC 13-1-1 *et seq.*

The Hearing will be held for the purpose of providing an opportunity for the Applicant to make a presentation of its Application to the Commission; an opportunity for the Commission to ask questions of any party representatives and witnesses who may testify at the Hearing; and an opportunity for interested nonparty organizations and persons to provide testimony in support of or adverse to the Application.

The Hearing is to be held by the Commission pursuant to the authority granted to it by Indiana Code section 4-31-1-1, Indiana Code section 4-35-7-12, 71 IAC sections 13-1-1 *et seq.* and Indiana Code sections 4-21.5-3-1 *et seq.* All members of the Commission intend to act as the Administrative Law Judge for the Hearing. The members include: Philip Borst, Chairman; Bill McCarty, Gus Levengood, Becca McCuaig, and Bob Cherry, Members. Dale Lee Pennycuff, Esq. is and will act as legal counsel to the Commission during these proceedings. Mike Smith, Executive Director, will also appear for the Commission Staff. Matt Eggiman, Esq. is and will act

as legal counsel to the Commission Staff during these proceedings. Mr. Eggiman may be contacted for information concerning the proposed Hearing schedule, the procedure to be followed at the Hearing, and for inspection of copies of the notice to the parties, at the offices of the Indiana Horse Racing Commission, 1302 N. Meridian St., Ste. 175, Indianapolis, Indiana 46202 (tel. no. 317-233-3119).

PRE-HEARING ORDER

The Commission, by its Chairman, Philip Borst, pursuant to Indiana Code section 4-21.5-3-19(d), issues the following Pre-Hearing Order with respect to the matters described herein:

I. Purpose of the Hearing on Applicant's Petition.

The Commission will consider IHBPA's 2027 Application for Registration as a Registered Horsemen's Association Pursuant to 71 IAC 13-1-1 *et seq.* filed with the Commission on or about August 31, 2026, requesting Commission approval to serve as the registered horsemen's association for the calendar year 2027 to receive the monies specified by Indiana Code section 4-35-7-12 to be distributed for the benefit of thoroughbred owners and trainers.

II. Application Process Timeline.

a. Hearing Date and Time

The hearing will commence on October 9, 2026, at 11:00 am EDT, in the Marquee Room at Horseshoe Indianapolis, 4300 N. Michigan Road, Shelbyville, IN 46176. This matter will be heard and will continue, with appropriate recesses, until completed. The record will be closed at the conclusion of the hearing. Thereafter, the Commission will deliberate on and decide whether the Application will be approved or denied and does not comprehend discussion during the deliberation with the Applicant or other interested parties.

b. Incorporation by Reference of IHBPA's 2011, 2012, 2013, 2014, 2015, 2016, 2017, 2018, 2019, 2020, 2021, 2022, 2023, 2024, 2025 and 2026 Applications and Related Materials.

The Application incorporates by reference any documents submitted, considered or generated with respect to IHBPA's 2011-2026 Applications for Registration, including, but not limited to, any amended commission staff reports. Note: No substantive changes to the Application made after Monday, September 28, 2026, will be considered as part of the Application.

c. Report of the Commission Staff.

The Commission Staff will review the Application and related information provided and may submit a Staff Report on the Application, which would be

distributed to the Applicant on or before the close of business on Wednesday, September 30, 2026.

d. Exhibits the Commission Proposes to Make a Part of the Record.

A list of exhibits which the Commission intends to make a part of the record may be prepared by the Commission's counsel and distributed to the Applicant's representative or counsel for the Applicant on or before the close of business on Wednesday, September 30, 2026. It should be understood that even in the absence of filing a list of exhibits, the Commission will offer into evidence the Application, any supplements thereto timely filed by the Applicant and any Staff Report issued by the Commission Staff. It should also be understood that the Commission will take official notice of any record of prior related hearings (including Final Orders) involving the Applicant, pursuant to Indiana Code section 4-21.5-3-26(c). The Applicant must notify the Commission in writing of any exhibit to which it objects on or before noon on Friday, October 2, 2026. If no objection is timely made, all specified exhibits will be made a part of the record at the commencement of the hearing. The Commission may expand that list prior to or at the scheduled hearing, however, the Applicant will be given an opportunity to make an objection to any such additional materials.

e. Witness and Exhibit Lists of Applicants.

Unless the Applicant intends to submit an additional filing or supplement to the Application, no Witness List is contemplated. In the event that the Applicant does submit an additional filing, or otherwise determines that witness testimony is necessary, any such Witness and Exhibit List are to be filed with the Commission on or before noon on Friday, October 2, 2026. When the Applicant files the Witness and Exhibit List, copies of the exhibits are to be left with the Commission so that they can be marked sequentially by the court reporter. While the Applicant may retain its oversized exhibits, reduced copies must be made available to the Commission on or before noon on Friday, October 2, 2026. Documents or exhibits not identified on Applicant's Exhibit List may not be introduced by Applicant.

f. Request for Official Notice.

The Applicant should submit in writing any request for matters to be officially noticed pursuant to Indiana Code section 4-21.5-3-26(f) on or before noon on Friday, October 2, 2026.

g. Issuance of Subpoenas.

The Applicant should submit proposed subpoenas to be issued by the Commission no later than the time that the Witness and Exhibit Lists are filed so that they can be issued pursuant to Indiana Code section 4-21.5-3-22. Subpoenas shall be issued

on the signature of the Chair, or on the signature of the Commission member delegated by the Chair in the event the Chair is unavailable.

III. Matters Relating to the Conduct of the Hearing.

a. The Commission will be sitting as an Administrative Law Judge at the Hearing.

The Commission is sitting both as an Administrative Law Judge and as “ultimate authority” (pursuant to Indiana Code section 4-21.5-1-15) with respect to this Application for Registration. Indiana Code section 4-21.5-3-11 provides in part that an Administrative Law Judge serving in a proceeding may not communicate directly or indirectly, regarding any issue in the proceeding while the proceeding is pending with any party or any individual who has a direct or indirect interest in the outcome of the proceeding. Such communications are prohibited and are referred to as “ex parte communications.” Additionally, while a Commission Member may communicate separately with another Commission Member and may receive aid from members of the Commission Staff, the Commission Staff is prohibited from having ex parte communications with a Commission Member which contain information that would furnish, augment, diminish, or modify the evidence in the record. If the Commission receives an ex parte communication in violation of this statute, please contact counsel for the Commission so that an appropriate public disclosure can be prepared pursuant to the Administrative Orders and Procedures Act. In appropriate circumstances, a Commission Member receiving or otherwise participating in such a prohibited communication can be disqualified from acting further on the Application before the Commission.

Additionally, when acting as an Administrative Law Judge, each Commission Member is prohibited (pursuant to Indiana Codes section 4-21.5-3-12) from commenting publicly, except as to hearing schedules or procedures, about pending proceedings. Accordingly, both the Commission Members and the members of the public are to be mindful of this limitation as the Commission moves forward to consider the evidence and to make a decision on the Application.

b. Hearing to be Conducted under Oath.

The hearing will be conducted under oath or affirmation pursuant to Indiana Code section 4-21.5-3-26(b). In order to ensure consistency, any non-party statements are to be given under oath or affirmation pursuant to Indiana Code section 4-21.5-3-25(f).

c. Staff Review and Presentation of Findings.

The Commission Staff is conducting a review of the Application and may speak to its report (*see* II.d., *supra*) at the hearing.

d. Application Hearing Time Schedule.

The maximum time allotted for each part of the hearing is as follows:

Opening Statement of Applicant	Up to 5 minutes
Oral Presentation by Applicant	Up to 10 minutes
Testimony of Commission Staff	Up to 10 minutes
Testimony of Interested Persons And Organizations	Up to 10 minutes
Rebuttal and Final Statements	Up to 5 minutes

e. Chairman to Rule on Procedural Issues.

The Chair will rule on any procedural issues requiring an immediate ruling which are raised at the hearing on the Application.

f. Commission Free to Ask Questions.

During the hearing on the Applicant's presentation, any Commissioner, the Commission's counsel, or the Commission's Executive Director may ask questions of any witness in the nature of cross-examination or to assist the Commission's understanding of the issues relevant to the Application and any appropriate action to be taken.

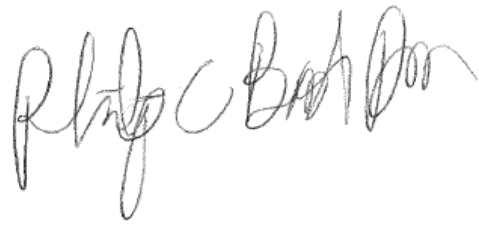
g. Individuals Requesting Time to Speak to the Application.

A sign-up sheet will be made available on the date of the hearing for those interested in speaking during the time allotted for Testimony of Interested Persons and Organizations. An appropriate amount of time will be determined by the Chair at the hearing with consideration of the number of individuals who wish to speak and the total amount of time available in which to do so.

IV. Notice of Pre-Hearing Order

The Applicant is advised that if it fails to attend or participate in the scheduled hearing, or any other stage of the proceeding, the proceeding may be dismissed pursuant to Indiana Code section 4-21.5-3-24.

This Pre-Hearing Order is issued by the Indiana Horse Racing Commission this 23rd day of September 2026.

A handwritten signature in black ink, reading "Philip C. Borst, DVM". The signature is written in a cursive, flowing style.

Philip Borst, DVM
Chairman
Indiana Horse Racing Commission

CERTIFICATE OF SERVICE

I hereby certify that a copy of the foregoing has been served upon the following parties by email this 23rd day of September 2026.

Indiana Horse Racing Commission:

Philip Borst, Chairman

William D. McCarty, Member

Gus Levengood, Member

Becca McCuaig, Member

Bob Cherry, Member

1302 N. Meridian Street

Ste. 175

Indianapolis, IN 46202

Mike Smith, Executive Director

Indiana Horse Racing Commission

1302 N. Meridian St. Ste. 175

Indianapolis, IN 46202

Jeffrey Stemerick, Esq.

Taft

One Indiana Square, Ste. 3500

Indianapolis, IN 46204

Joseph Davis

IHBPA President

1624 Wagner Drive

Shelbyville, IN 46176

Tim Glyshaw

IHBPA Executive Director

3118 Hendon Rd.

Louisville, KY 40220

/s/ Dale Lee Pennycuff

Dale Lee Pennycuff

Staff Attorney

Agenda Item #5

STATE OF INDIANA
BEFORE THE INDIANA HORSE RACING COMMISSION

IN RE:

2027 Application for Registration of Quarter)
Horse Racing Association of Indiana, Inc.)
Pursuant to 71 IAC 13-1-1 *et seq.*)

**NOTICE OF HEARING AND PRE-HEARING ORDER ON 2027 APPLICATION FOR
REGISTRATION OF QUARTER HORSE RACING ASSOCIATION OF INDIANA, INC.
PURSUANT TO 71 IAC 13-1-1 ET SEQ.**

This matter comes before the Indiana Horse Racing Commission (hereinafter “the Commission”) on an Application for Approval as a Registered Horsemen’s Association pursuant to 71 IAC 13-1-1 *et seq.* for the calendar year 2027 (hereinafter “the Application”), submitted by the Quarter Horse Racing Association of Indiana, Inc. (hereinafter “the Applicant” or “QHRAI”) on or about August 27, 2026. The Commission, by its Chair, issues this notice and order pursuant to the provisions of the Indiana Administrative Orders and Procedures Act, Indiana Code sections 4-21.5-1-1 *et seq.*

NOTICE OF HEARING

The Commission will hold a hearing on Friday, October 9, 2026, to begin as soon as this matter advances on the Commission’s agenda during its regularly scheduled meeting which will commence at 11:00 am EDT, in the Marquee Room at Horseshoe Indianapolis, 4300 N. Michigan Road, Shelbyville, IN 46176. The Hearing will relate to the following Application that was filed with the Commission on or about August 27, 2026:

2027 Application for Registration of the Quarter Horse Racing
Association of Indiana, Inc. Pursuant to 71 IAC 13-1-1 *et seq.*

The Hearing will be held for the purpose of providing an opportunity for the Applicant to make a presentation of its Application to the Commission; an opportunity for the Commission to ask questions of any party representatives and witnesses who may testify at the Hearing; and an opportunity for interested nonparty organizations and persons to provide testimony in support of or adverse to the Application.

The Hearing is to be held by the Commission pursuant to the authority granted to it by Indiana Code section 4-31-1-1, Indiana Code section 4-35-7-12, 71 IAC sections 13-1-1 *et seq.* and Indiana Code sections 4-21.5-3-1 *et seq.* All members of the Commission intend to act as the Administrative Law Judge for the Hearing. The members include: Philip Borst, Chairman; Bill McCarty, Gus Levengood, Becca McCuaig, and Bob Cherry, Members. Matt Eggiman, Esq. is and will act as legal counsel to the Commission during these proceedings. Mike Smith, Executive Director, will also appear for the Commission Staff. Dale Lee Pennycuff, Esq. is and will act as

legal counsel to the Commission Staff during these proceedings. Mr. Pennycuff may be contacted for information concerning the proposed Hearing schedule, the procedure to be followed at the Hearing, and for inspection of copies of the notice to the parties, at the offices of the Indiana Horse Racing Commission, 1302 N. Meridian St., Ste. 175, Indianapolis, Indiana 46202 (tel. no. 317-233-3119).

PRE-HEARING ORDER

The Commission, by its Chairman, Philip Borst, pursuant to Indiana Code section 4-21.5-3-19(d), issues the following Pre-Hearing Order with respect to the matters described herein:

I. Purpose of the Hearing on Applicant's Petition.

The Commission will consider QHRAI's 2027 Application for Registration as a Registered Horsemen's Association Pursuant to 71 IAC 13-1-1 *et seq.* filed with the Commission on or about August 27, 2026, requesting Commission approval to serve as the registered horsemen's association for the calendar year 2027 to receive the monies specified by Indiana Code section 4-35-7-12 to be distributed for the benefit of thoroughbred owners and trainers.

II. Application Process Timeline.

a. Hearing Date and Time

The hearing will commence on October 9, 2026, at 11:00 am EDT, in the Marquee Room at Horseshoe Indianapolis, 4300 N. Michigan Road, Shelbyville, IN 46176. This matter will be heard and will continue, with appropriate recesses, until completed. The record will be closed at the conclusion of the hearing. Thereafter, the Commission will deliberate on and decide whether the Application will be approved or denied and does not comprehend discussion during the deliberation with the Applicant or other interested parties.

b. Incorporation by Reference of QHRAI's 2011, 2012, 2013, 2014, 2015, 2016, 2017, 2018, 2019, 2020, 2021, 2022, 2023, 2024, 2025, and 2026 Applications and Related Materials.

The Application incorporates by reference any documents submitted, considered or generated with respect to QHRAI's 2011-2026 Applications for Registration, including, but not limited to, any amended Commission Staff reports. Note: No substantive changes to the Application made after Monday, September 28, 2026, will be considered as part of the Application.

c. Report of the Commission Staff.

The Commission Staff will review the Application and related information provided and may submit a Staff Report on the Application, which would be

distributed to the Applicant on or before the close of business on Wednesday, September 30, 2026.

d. Exhibits the Commission Proposes to Make a Part of the Record.

A list of exhibits which the Commission intends to make a part of the record may be prepared by the Commission's counsel and distributed to the Applicant's representative or counsel for the Applicant on or before the close of business on Wednesday, September 30, 2026. It should be understood that even in the absence of filing a list of exhibits, the Commission will offer into evidence the Application, any supplements thereto timely filed by the Applicant and any Staff Report issued by the Commission Staff. It should also be understood that the Commission will take official notice of any record of prior related hearings (including Final Orders) involving the Applicant, pursuant to Indiana Code section 4-21.5-3-26(c). The Applicant must notify the Commission in writing of any exhibit to which it objects on or before noon on Friday, October 2, 2026. If no objection is timely made, all specified exhibits will be made a part of the record at the commencement of the hearing. The Commission may expand that list prior to or at the scheduled hearing, however, the Applicant will be given an opportunity to make an objection to any such additional materials.

e. Witness and Exhibit Lists of Applicants.

Unless the Applicant intends to submit an additional filing or supplement to the Application, no Witness List is contemplated. In the event that the Applicant does submit an additional filing, or otherwise determines that witness testimony is necessary, any such Witness and Exhibit List are to be filed with the Commission on or before noon on Friday, October 2, 2026. When the Applicant files the Witness and Exhibit List, copies of the exhibits are to be left with the Commission so that they can be marked sequentially by the court reporter. While the Applicant may retain its oversized exhibits, reduced copies must be made available to the Commission on or before noon on Friday, October 2, 2026. Documents or exhibits not identified on Applicant's Exhibit List may not be introduced by Applicant.

f. Request for Official Notice.

The Applicant should submit in writing any request for matters to be officially noticed pursuant to Indiana Code section 4-21.5-3-26(f) on or before noon on Friday, October 2, 2026.

g. Issuance of Subpoenas.

The Applicant should submit proposed subpoenas to be issued by the Commission no later than the time that the Witness and Exhibit Lists are filed so that they can

be issued pursuant to Indiana Code section 4-21.5-3-22. Subpoenas shall be issued on the signature of the Chair, or on the signature of the Commission member delegated by the Chair in the event the Chair is unavailable.

III. Matters Relating to the Conduct of the Hearing.

a. The Commission will be sitting as an Administrative Law Judge at the Hearing.

The Commission is sitting both as an Administrative Law Judge and as “ultimate authority” (pursuant to Indiana Code section 4-21.5-1-15) with respect to this Application for Registration. Indiana Code section 4-21.5-3-11 provides in part that an Administrative Law Judge serving in a proceeding may not communicate directly or indirectly, regarding any issue in the proceeding while the proceeding is pending with any party or any individual who has a direct or indirect interest in the outcome of the proceeding. Such communications are prohibited and are referred to as “ex parte communications.” Additionally, while a Commission Member may communicate separately with another Commission Member and may receive aid from members of the Commission Staff, the Commission Staff is prohibited from having ex parte communications with a Commission Member which contain information that would furnish, augment, diminish, or modify the evidence in the record. If the Commission receives an ex parte communication in violation of this statute, please contact counsel for the Commission so that an appropriate public disclosure can be prepared pursuant to the Administrative Orders and Procedures Act. In appropriate circumstances, a Commission Member receiving or otherwise participating in such a prohibited communication can be disqualified from acting further on the Application before the Commission.

Additionally, when acting as an Administrative Law Judge, each Commission Member is prohibited (pursuant to Indiana Codes section 4-21.5-3-12) from commenting publicly, except as to hearing schedules or procedures, about pending proceedings. Accordingly, both the Commission Members and the members of the public are to be mindful of this limitation as the Commission moves forward to consider the evidence and to make a decision on the Application.

b. Hearing to be Conducted under Oath.

The hearing will be conducted under oath or affirmation pursuant to Indiana Code section 4-21.5-3-26(b). In order to ensure consistency, any non-party statements are to be given under oath or affirmation pursuant to Indiana Code section 4-21.5-3-25(f).

c. Staff Review and Presentation of Findings.

The Commission Staff is conducting a review of the Application and may speak to its report (*see* II.d., *supra*) at the hearing.

d. Application Hearing Time Schedule.

The maximum time allotted for each part of the hearing is as follows:

Opening Statement of Applicant	Up to 5 minutes
Oral Presentation by Applicant	Up to 10 minutes
Testimony of Commission Staff	Up to 10 minutes
Testimony of Interested Persons And Organizations	Up to 10 minutes
Rebuttal and Final Statements	Up to 5 minutes

e. Chairman to Rule on Procedural Issues.

The Chair will rule on any procedural issues requiring an immediate ruling which are raised at the hearing on the Application.

f. Commission Free to Ask Questions.

During the hearing on the Applicant's presentation, any Commissioner, the Commission's counsel, or the Commission's Executive Director may ask questions of any witness in the nature of cross-examination or to assist the Commission's understanding of the issues relevant to the Application and any appropriate action to be taken.

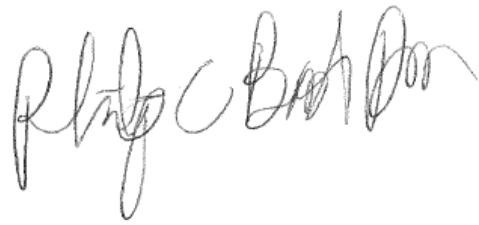
g. Individuals Requesting Time to Speak to the Application.

A sign-up sheet will be made available on the date of the hearing for those interested in speaking during the time allotted for Testimony of Interested Persons and Organizations. An appropriate amount of time will be determined by the Chair at the hearing with consideration of the number of individuals who wish to speak and the total amount of time available in which to do so.

IV. Notice of Pre-Hearing Order

The Applicant is advised that if it fails to attend or participate in the scheduled hearing, or any other stage of the proceeding, the proceeding may be dismissed pursuant to Indiana Code section 4-21.5-3-24.

This Pre-Hearing Order is issued by the Indiana Horse Racing Commission this 23rd day of September 2026.

A handwritten signature in black ink, reading "Philip C. Borst, DVM". The signature is written in a cursive, flowing style.

Philip Borst, DVM
Chairman
Indiana Horse Racing Commission

CERTIFICATE OF SERVICE

I hereby certify that a copy of the foregoing has been served upon the following parties by email this 23rd day of September 2026.

Indiana Horse Racing Commission:

Philip Borst, Chairman

William D. McCarty, Member

Gus Levengood, Member

Becca McCuaig, Member

Bob Cherry, Member

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Chris Duke

QHRAI President

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Shelbyville, IN 46176

/s/ Dale Lee Pennycuff

Dale Lee Pennycuff

Staff Attorney